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INF-TEC-2022 02-0

**Tecentriq®**



Atezolizumab

## 1. DESCRIPTION

### 1.1 THERAPEUTIC / PHARMACOLOGIC CLASS OF DRUG

Antineoplastic agent, humanized immunoglobulin G1 (IgG1) monoclonal antibody.  
ATC Code – L01XC32

### 1.2 TYPE OF DOSAGE FORM

Concentrate for solution for infusion.

### 1.3 ROUTE OF ADMINISTRATION

Intravenous (IV) Infusion.

### 1.4 STERILE / RADIOACTIVE STATEMENT

Sterile Product.

### 1.5 QUALITATIVE AND QUANTITATIVE COMPOSITION

Active ingredient: atezolizumab

Tecentriq is supplied as single-use vials containing preservative-free, colorless to slightly yellow solution, at an active ingredient concentration of 60mg/mL, as follows:

- 14 mL vial containing a total of 840 mg atezolizumab
- 20 mL vial containing a total of 1,200 mg atezolizumab

Excipients: L-histidine, glacial acetic acid, sucrose, polysorbate 20 and water for injection.

## 2. CLINICAL PARTICULARS

### 2.1 THERAPEUTIC INDICATION(S)

#### Non-small cell lung cancer

Tecentriq, in combination with Avastin, paclitaxel and carboplatin, is indicated for the treatment of patients with metastatic non-squamous non-small cell lung cancer (NSCLC) who had not received prior chemotherapy.

Tecentriq as monotherapy is indicated for the treatment of patients with metastatic non-small cell lung cancer (NSCLC) who have disease progression during or following platinum-containing chemotherapy.

Patients with EGFR or ALK genomic tumor aberrations should have disease progression on approved therapy for these aberrations prior to receiving Tecentriq.

Tecentriq, in combination with nab-paclitaxel and carboplatin, is indicated for first-line treatment of patients with metastatic non-squamous NSCLC who do not have EGFR or ALK genomic tumor aberrations.

Tecentriq as monotherapy is indicated for the first-line treatment of patients with metastatic NSCLC whose tumors have a PD-L1 expression  $\geq$  50% tumor cells (TC) or  $\geq$  10% tumor-infiltrating immune cells (IC) and who do not have EGFR or ALK genomic tumor aberrations

#### Small cell lung cancer

Tecentriq, in combination with carboplatin and etoposide, is indicated for the first-line treatment of patients with extensive-stage small cell lung cancer (ES-SCLC).

#### Triple-negative breast cancer

Tecentriq, in combination with nab-paclitaxel, is indicated for the treatment of patients with unresectable locally advanced or metastatic triple-negative breast cancer (TNBC) whose tumors have PD-L1 expression of  $\geq$  1% on IC, and who have not received prior chemotherapy for metastatic disease.

#### Hepatocellular carcinoma

Tecentriq, in combination with Avastin, is indicated for the treatment of patients with unresectable hepatocellular carcinoma (HCC) who have not received prior systemic therapy.

## 2.2 DOSAGE AND ADMINISTRATION

### General

Tecentriq must be administered as an intravenous infusion under the supervision of a qualified healthcare professional. Do not administer as an IV push or bolus.

Do not co-administer other medicinal products through the same infusion line.

Substitution by any other biological medicinal product requires the consent of the prescribing physician.

The safety and efficacy of alternating or switching between Tecentriq and products that are biosimilar but not deemed interchangeable to Tecentriq has not been established. Therefore, the benefit/risk of alternating or switching need to be carefully considered.

The initial dose of Tecentriq must be administered over 60 minutes. If the first infusion is tolerated, all subsequent infusions may be administered over 30 minutes.

The recommended dose of Tecentriq in monotherapy or combination therapy is:

- 840 mg administered by IV infusion every 2 weeks, or
- 1200 mg administered by IV infusion every 3 weeks, or
- 1680 mg administered by IV infusion every 4 weeks.

#### Tecentriq monotherapy

##### IL NSCLC

Patients should be selected for treatment based on the tumor expression of PD-L1 confirmed by a validated test (see section 3.1.2 Clinical / Efficacy Studies).

#### Tecentriq combination therapy

For the use of Tecentriq in combination therapy, please also refer to the full prescribing information for the combination product. Tecentriq should be administered prior to the combination therapy if given on the same day.

#### IL non-squamous NSCLC

*Tecentriq in combination with Avastin, paclitaxel, and carboplatin*

During the induction phase, Tecentriq is administered according to its dosing schedules by intravenous (IV) infusion, and Avastin, paclitaxel, and carboplatin are administered every 3 weeks for four or six cycles.

The induction phase is followed by a maintenance phase without chemotherapy in which Tecentriq is administered according to its dosing schedules by IV infusion, and Avastin is administered every 3 weeks.

#### Tecentriq in combination with nab-paclitaxel and carboplatin

During the induction phase, Tecentriq is administered according to its dosing schedules by IV infusion, and nab-paclitaxel and carboplatin are administered every 3 weeks for four or six cycles. For each 21-day cycle, nab-paclitaxel and carboplatin are administered on day 1. In addition, nab-paclitaxel is administered on days 8 and 15.

The induction phase is followed by a maintenance phase without chemotherapy in which Tecentriq is administered according to its dosing schedule.

#### IL ES-SCLC

##### Tecentriq in combination with carboplatin and etoposide

During the induction phase, Tecentriq is administered according to its dosing schedules by IV infusion, and carboplatin and etoposide are administered by IV infusion every three weeks for four cycles. Carboplatin and etoposide are administered on day 1 of each cycle, and etoposide is also administered on days 2 and 3.

The induction phase is followed by a maintenance phase without chemotherapy in which Tecentriq is administered according to its dosing schedules by IV infusion.

#### IL TNBC

##### Tecentriq in combination with nab-paclitaxel

Tecentriq is administered according to its dosing schedules by IV infusion and 100 mg/m<sup>2</sup> nab-paclitaxel is administered on days 1, 8 and 15 during each 28-day cycle.

Patients should be selected for treatment based on the tumor expression of PD-L1 confirmed by a validated test (see section 3.1.2 Clinical / Efficacy Studies).

#### HCC

##### Tecentriq in combination with Avastin

Tecentriq is administered according to its dosing schedules by IV infusion, and Avastin 15 mg/kg is administered every 3 weeks.

#### Duration of Treatment

Patients are treated with Tecentriq until loss of clinical benefit (see section 3.1.2 Clinical / Efficacy Studies) or unacceptable toxicity.

#### IL TNBC

Patients are treated with Tecentriq until disease progression or unacceptable toxicity. (see section 3.1.2 Clinical / Efficacy Studies)

#### Delayed or Missed Doses

If a planned dose of Tecentriq is missed, it should be administered as soon as possible. The schedule of administration should be adjusted to maintain the appropriate interval between doses.

#### Dose Modifications

No dose reductions of Tecentriq are recommended.

#### Dose modifications for immune-mediated adverse reactions

Recommendations for specific adverse drug reactions (see sections 2.4.1 Warnings and Precautions, General and 2.6.1 Undesirable Effects, Clinical Trials) are presented in Table 1.

**Table 1 Recommended dose modifications for specific Adverse Drug Reactions**

| Adverse reaction                                  | Severity  | Treatment modification   |
|---|---|--|
| Immune-mediated pneumonitis                       | Grade 2   | Withhold <sup>1</sup>  |
|   | Grade 3 or 4  | Permanently discontinue  |
| Immune-mediated hepatitis in patients without HCC | Grade 2 (ALT or AST >3x ULN or blood bilirubin >1.5x ULN for more than 5-7 days)      | Withhold <sup>1</sup>  |
|   | Grade 3 or 4 (ALT or AST >5.0x ULN or blood bilirubin >3x ULN)                        | Permanently discontinue  |
| Immune-mediated hepatitis in patients with HCC    | If AST/ALT is within normal limits at baseline and increases to >3x to $\leq$ 10x ULN | Withhold <sup>1</sup>  |
|   | If AST/ALT is >1 to $\leq$ 3x ULN at baseline and increases to >5x to $\leq$ 10x ULN  | Withhold <sup>1</sup>  |
|   | If AST/ALT is >3x to $\leq$ 5x ULN at baseline and increases to >8x to $\leq$ 10x ULN | Withhold <sup>1</sup>  |
|   | If AST/ALT increases to >10x ULN or total bilirubin increases to >3x ULN              | Permanently discontinue  |
| Immune-mediated colitis                           | Grade 2 diarrhea (increase of $\geq$ 4-6 stools/day over baseline) or colitis         | Withhold <sup>1</sup>  |
|   | Grade 3 diarrhea (increase of $\geq$ 7 stools/day over baseline) or colitis           | Withhold <sup>1</sup><br>Initiate IV corticosteroids and convert to oral corticosteroids after improvement |
|   | Grade 4 diarrhea (life threatening; urgent intervention indicated) or colitis         | Permanently discontinue  |
| Immune-mediated hypothyroidism                    | Symptomatic   | Withhold <sup>2</sup><br>Initiate thyroid hormone replacement therapy as needed                            |
| Immune-mediated hyperthyroidism                   | Symptomatic   | Withhold <sup>2</sup><br>Initiate anti-thyroid therapy as needed   |
| Immune-mediated adrenal insufficiency             | Symptomatic   | Withhold <sup>1</sup>  |
| Immune-mediated hypophysitis                      | Grade 2 or 3  | Withhold <sup>1</sup><br>Hormone replacement should be initiated as  |

|  |  | needed.   |
|--|--|---|
|  | Grade 4  | Permanently discontinue   |
| Immune-mediated type 1 diabetes  | For $\geq$ Grade 3 hyperglycemia (fasting glucose >250 mg/dL)  | Withhold <sup>2</sup><br>Initiate insulin   |
| Immune-mediated meningitis, encephalitis, myasthenic syndrome / myasthenia gravis, Guillain-Barré syndrome | All grades   | Permanently discontinue   |
| Immune-mediated pancreatitis   | Grade 2 or 3 $\geq$ Grade 3 serum amylase or lipase levels increased (> 2.0 ULN)                     | Withhold <sup>1</sup>   |
|  | Grade 4 or any grade recurrent pancreatitis  | Permanently discontinue   |
| Immune-mediated myocarditis  | Grade 2 or above   | Permanently discontinue   |
| Immune-mediated myositis   | Grade 2 or 3   | Withhold <sup>1</sup>   |
|  | Grade 4 or grade 3 recurrent myositis  | Permanently discontinue   |
| Immune-mediated nephritis  | Grade 2 (creatinine level >1.5 - 3.0x baseline or >1.5 - 3.0x ULN)                                   | Withhold <sup>1</sup>   |
|  | Grade 3 (creatinine level >3.0x baseline or >3.0 - 6.0x ULN) or 4 (creatinine level >6.0x ULN)       | Permanently discontinue   |
| Infusion related reactions   | Grade 1 or 2   | Reduce rate of infusion or withhold treatment<br>Premedication with antipyretic and antihistamines may be considered for subsequent doses |
|  | Grade 3 or 4   | Permanently discontinue   |
| Rash/Severe cutaneous adverse reactions  | Grade 3 or suspected Stevens-Johnson syndrome (SJS) or toxic epidermal necrolysis (TEN) <sup>3</sup> | Withhold  |
|  | Grade 4 or confirmed Stevens-Johnson syndrome (SJS) or toxic epidermal necrolysis (TEN) <sup>3</sup> | Permanently discontinue   |

<sup>1</sup> Treatment with corticosteroid therapy (1-2 mg/kg/day prednisone or equivalent) should be initiated. Treatment with Tecentriq may be resumed in patients with complete or partial resolution (Grade 0 to 1) within 12 weeks, and after corticosteroids have been reduced to  $\leq$ 10 mg/day oral prednisone or equivalent.

<sup>2</sup> Treatment with Tecentriq may be resumed when symptoms are controlled and the patient is clinically stable.

<sup>3</sup> Regardless of severity.

For other immune-mediated reactions, based on the type and severity of the reaction, treatment with Tecentriq should be withheld for Grades 2 or 3 immune-mediated adverse reactions and corticosteroid therapy (1-2 mg/kg/day prednisone or equivalent) should be initiated. If symptoms improve to  $\leq$  Grade 1, taper corticosteroids as clinically indicated. Treatment with Tecentriq may be resumed if the event improves to  $\leq$  Grade 1 within 12 weeks, and corticosteroids have been reduced to  $\leq$  10 mg oral prednisone or equivalent per day.

Treatment with Tecentriq should be permanently discontinued for Grade 4 immune-mediated adverse reactions, or when unable to reduce corticosteroid dose to the equivalent of  $\leq$  10 mg prednisone per day within 12 weeks after onset.

### 2.2.1 Special Dosage Instructions

#### Pediatric Use

The safety and efficacy of Tecentriq in children and adolescents below 18 years of age have not been established. (see section 2.5.4 Pediatric Use, and 3.2.5 Pharmacokinetics in Special Populations)

#### Geriatric Use

Based on a population pharmacokinetic analysis, no dose adjustment of Tecentriq is required in patients  $\geq$  65 years of age (see sections 2.5.5 Geriatric Use, and 3.2.5 Pharmacokinetics in Special Populations).

#### Renal Impairment

Based on a population pharmacokinetic analysis, no dose adjustment is required in patients with renal impairment (see section 3.2.5 Pharmacokinetics in Special Populations).

#### Hepatic impairment

Based on a population pharmacokinetic analysis, no dose adjustment is required for patients with mild or moderate hepatic impairment. There are no data in patients with severe hepatic impairment (see section 3.2.5 Pharmacokinetics in Special Populations).

## 2.3 CONTRAINDICATIONS

Tecentriq is contraindicated in patients with a known hypersensitivity to atezolizumab or any of the excipients.

## 2.4 WARNINGS AND PRECAUTIONS

### 2.4.1 General

In order to improve the traceability of biological medicinal products, the trade name and the batch number of the administered product should be clearly recorded (or stated) in the patient file.

#### Immune-mediated pneumonitis

Cases of pneumonitis, including fatal cases, have been observed in clinical trials with Tecentriq (see section 2.6.1 Undesirable effects, Clinical Trials). Patients should be monitored for signs and symptoms of pneumonitis. Refer to section 2.2. Dosage and Administration for recommended dose modifications.

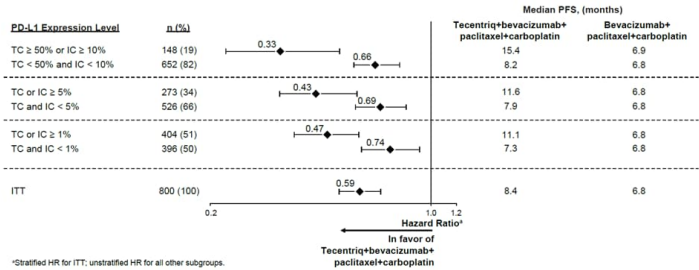
#### Immune-mediated hepatitis

Cases of hepatitis, some leading to fatal outcomes, have been observed in clinical trials with Tecentriq (see section 2.6.1 Undesirable effects, Clinical Trials). Patients should be monitored for signs and symptoms of hepatitis. Monitor aspartate aminotransferase (AST), alanine aminotransferase (ALT) and bilirubin prior to and periodically during treatment with Tecentriq. Consider appropriate management of patients with abnormal liver function tests (LFTs) at baseline. Refer to section 2.2. Dosage and Administration for recommended dose modifications.





**Figure 4: Forest plot of updated progression free survival by PD-L1 expression in the ITT population (IMpower150)**



Pre-specified subgroup analyses from the interim OS analysis showed a numerical OS improvements in the Tecentriq with Avastin, paclitaxel, carboplatin arm as compared to the Avastin, paclitaxel and carboplatin arm for patients with EGFR mutations or ALK rearrangements (HR: 0.54 [95% CI: 0.29, 1.03], median OS NE vs. 17.5 months) and liver metastases (HR: 0.52 [95% CI: 0.33, 0.82], median OS 13.3 vs 9.4 months). Numerical PFS improvements were also shown in patients with EGFR mutations or ALK rearrangements (HR: 0.55 [95% CI 0.34, 0.90], median PFS 10 vs. 6.1 months) and liver metastases (HR: 0.41 [95%CI 0.26, 0.62], median PFS 8.2 vs. 5.4 months).

This study also evaluated Physical Function and Patient-Reported Treatment-Related Symptoms using the EORTC QLQ-C30 and EORTC QLQ-LC13 measures at the time of the final PFS analysis. On average, patients who received Tecentriq with Avastin, paclitaxel and carboplatin reported minimal treatment burden as indicated by minimal deterioration in both Physical Function and Patient-Reported Treatment-Related Symptom Scores (i.e. fatigue, constipation, diarrhea, nausea/vomiting, hemoptysis, dysphagia, and sore mouth) while on treatment. Average patient-reported physical function and treatment-related symptom scores in both patients who received Tecentriq with Avastin, paclitaxel and carboplatin as well as patients who received Avastin in combination with paclitaxel and carboplatin, were comparable while on treatment.

#### IMpower130

A Phase III, open-label, randomized study, GO29537 (IMpower130) was conducted to evaluate the efficacy and safety of Tecentriq in combination with nab-paclitaxel and carboplatin, in chemotherapy-naïve patients with metastatic non-squamous NSCLC. Patients including those with EGFR or ALK genomic tumor aberrations, were enrolled and were randomized in a 2:1 ratio to receive one of the treatment regimens described in Table 6. Randomization was stratified by sex, presence of liver metastases and PD-L1 tumor expression on tumor cells (TC) and tumor infiltrating cells (IC). Patients in treatment regimen B were able to crossover and receive Tecentriq monotherapy following disease progression.

**Table 6 Intravenous treatment regimens in IMpower130**

| Treatment Regimen | Induction (Four or Six 21-Day Cycles)  | Maintenance (21-Day Cycles)        |
|-------------------|--|------------------------------------|
| A                 | Tecentriq (1200mg) <sup>a</sup> + nab-paclitaxel (100mg/m <sup>2</sup> ) <sup>b,c</sup> + carboplatin (AUC 6) <sup>c</sup> | Tecentriq (1200mg) <sup>a</sup>    |
| B                 | Nab-paclitaxel (100mg/m <sup>2</sup> ) <sup>b</sup> + Carboplatin (AUC 6) <sup>c</sup>                                     | Best supportive care or pemetrexed |

<sup>a</sup> Tecentriq is administered until loss of clinical benefit as assessed by investigator

<sup>b</sup> Nab-paclitaxel is administered on days 1, 8, and 15 of each cycle

<sup>c</sup> Nab-paclitaxel and carboplatin and is administered until completion of 4-6 cycles, or progressive disease or unacceptable toxicity whichever occurs first

Patients were excluded if they had history of autoimmune disease, administration of live, attenuated vaccine within 28 days prior to randomization, administration of immunostimulatory agents within 4 weeks or systemic immunosuppressive medications within 2 weeks prior to randomization, and active or untreated CNS metastases. Tumor assessments were conducted every 6 weeks for the first 48 weeks following Cycle 1, then every 9 weeks thereafter.

The demographics and baseline disease characteristics of the study population (n = 723) were well balanced between the treatment arms. The median age was 64 years (range 18 to 86). The majority of the patients were, male (57%), white (90%). 14.8% of patients had liver metastases at baseline, and most patients were current or previous smokers (88%). The majority of patients had baseline ECOG performance status of 1 (58.7%).

The primary analysis was conducted in all patients, excluding those with EGFR or ALK genomic tumor aberrations (n = 679). Patients had a median survival follow up time of 18.6 months. Improvements in OS and PFS were demonstrated with Tecentriq + nab-paclitaxel + carboplatin compared to the control. The key results are summarized in Table 7 and Kaplan-Meier curves for OS and PFS are presented in Figures 5 and 7, respectively.

All PD-L1 subgroups, regardless of expression, derived benefit in terms of OS and PFS; the results are summarized in Figure 6 and 8. Consistent OS and PFS benefit was demonstrated in all other pre-specified subgroups, with the exception of patients with liver metastases who did not show improved OS with Tecentriq, nab-paclitaxel and carboplatin, compared to nab-paclitaxel and carboplatin (HR of 1.04, 95% CI: 0.63,1.72).

Approximately 66% of patients in the nab-paclitaxel and carboplatin arm received any anti-cancer therapy after disease progression compared to 39% in the Tecentriq, nab-paclitaxel and carboplatin arm. These included, approximately 59% of patients in the nab-paclitaxel and carboplatin arm received any cancer immunotherapy after disease progression, which includes Tecentriq as crossover (41% of all patients), compared to 7.3% in the Tecentriq, nab-paclitaxel and carboplatin arm.

**Table 7 Summary of efficacy from IMpower130 in the Primary Analysis Population**

| Key efficacy endpoints                         | Tecentriq + nab-paclitaxel + carboplatin | nab-paclitaxel + carboplatin |
|--|--|------------------------------|
| <b>Co-primary Endpoints</b>                    |  |                              |
| <b>OS</b>                                      | n = 451                                  | n = 228                      |
| No. of deaths (%)                              | 226 (50.1%)                              | 131 (57.5%)                  |
| Median time to events (months)                 | 18.6                                     | 13.9                         |
| 95% CI   | (16.0, 21.2)                             | (12.0, 18.7)                 |
| Stratified hazard ratio <sup>‡</sup> (95% CI)  | 0.79 (0.64, 0.98)                        |                              |
| p-value  | 0.033                                    |                              |
| 12-month OS (%)                                | 63                                       | 56                           |
| <b>Investigator-assessed PFS (RECIST v1.1)</b> |  |                              |
| n = 451  | n = 228                                  |                              |
| No. of events (%)                              | 347 (76.9)                               | 198 (86.8)                   |
| Median duration of PFS (months)                | 7.0                                      | 5.5                          |
| 95% CI   | (6.2, 7.3)                               | (4.4, 5.9)                   |
| Stratified hazard ratio <sup>‡</sup> (95% CI)  | 0.64 (0.54, 0.77)                        |                              |
| p-value  | < 0.0001                                 |                              |
| 12-month PFS (%)                               | 29                                       | 14                           |
| <b>Secondary Endpoints</b>                     |  |                              |
| <b>Investigator-assessed ORR (RECIST 1.1)</b>  | n = 447                                  | n = 226                      |

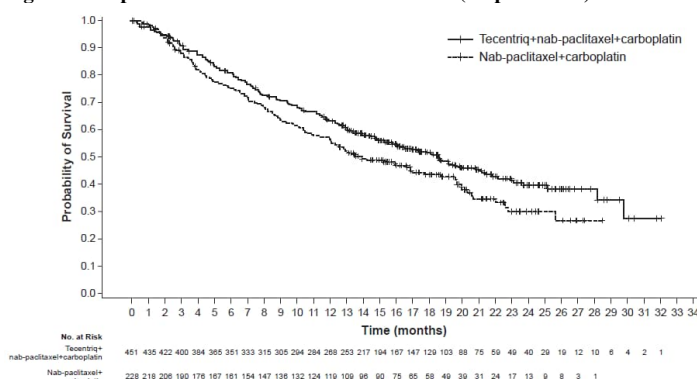
| Key efficacy endpoints                                  | Tecentriq + nab-paclitaxel + carboplatin | nab-paclitaxel + carboplatin |
|---|--|------------------------------|
| No. of confirmed responders (%)                         | 220 (49.2%)                              | 72 (31.9%)                   |
| 95% CI  | (44.5, 54.0)                             | (25.8, 38.4)                 |
| No. of complete response (%)                            | 11 (2.5%)                                | 3 (1.3%)                     |
| No. of partial response (%)                             | 209 (46.8%)                              | 69 (30.5%)                   |
| <b>Investigator-assessed confirmed DOR (RECIST 1.1)</b> |  |                              |
| n = 220   | n = 72                                   |                              |
| Median in months  | 8.4                                      | 6.1                          |
| 95% CI  | (6.9, 11.8)                              | (5.5, 7.9)                   |

<sup>‡</sup> Stratified by sex and PD-L1 tumor expression on TC and IC

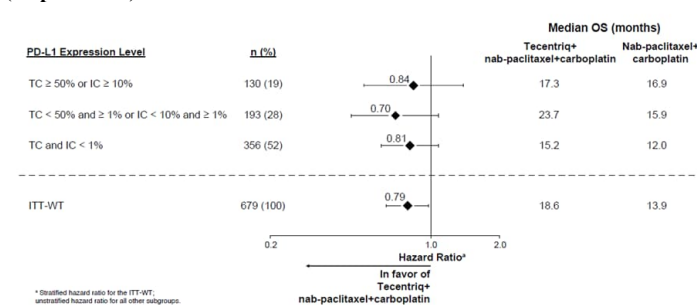
PFS=progression-free survival; RECIST=Response Evaluation Criteria in Solid Tumors v1.1;

CI=confidence interval; ORR=objective response rate; DOR=duration of response; OS=overall survival

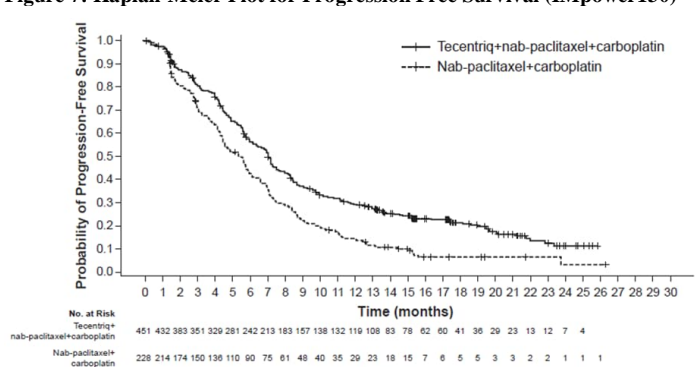
**Figure 5: Kaplan-Meier Plot for Overall Survival (IMpower130)**



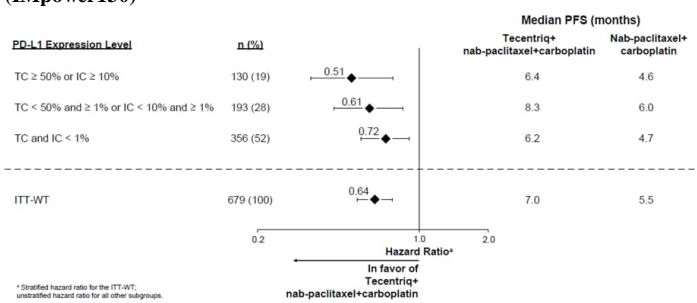
**Figure 6: Forest Plot of Overall Survival by PD-L1 expression (IMpower130)**



**Figure 7: Kaplan-Meier Plot for Progression Free Survival (IMpower130)**



**Figure 8: Forest Plot of Progression Free Survival by PD-L1 expression (IMpower130)**



The study also evaluated Physical Function and Patient Reported Treatment-Related Symptoms using the EORTC QLQ-C30 and EORTC QLQ-LC13 measures. On average, patients who received Tecentriq with nab-paclitaxel and carboplatin reported high functioning and no clinically meaningful worsening in treatment-related symptoms. There was no difference in delay of lung-related symptoms (dyspnea, cough and chest pain) however patients receiving Tecentriq, nab-paclitaxel and carboplatin reported less worsening of these symptoms over time.

#### IL non-squamous and squamous NSCLC

##### IMpower110

A phase III, open-label, multi-center, randomized study, GO29431 (IMpower110), was conducted to evaluate the efficacy and safety of Tecentriq in chemotherapy-naïve patients with metastatic NSCLC, with PD-L1 expression ≥ 1% TC (PD-L1 stained ≥ 1% of tumor cells) or ≥ 1% IC (PD-L1 stained tumor-infiltrating immune cells covering ≥ 1% of the tumor area) by the VENTANA PD-L1 (SP142) Assay.

A total of 572 patients were randomized in a 1:1 ratio to receive Tecentriq (Arm A) or chemotherapy (Arm B). Tecentriq was administered as a fixed dose of 1200 mg by IV infusion every 3 weeks until loss of clinical benefit as assessed by the investigator or unacceptable toxicity. The chemotherapy regimens are described in Table 8. Randomization was stratified by sex, ECOG performance status, histology, and PD-L1 tumor expression on TC and IC.

**Table 8 Chemotherapy Intravenous Treatment Regimens in Study IMpower110**

| Treatment regimen | Induction (Four or Six 21-day cycles)  | Maintenance (21-day cycles)                        |
|-------------------|--|--|
| B (Non-squamous)  | Cisplatin <sup>a</sup> (75 mg/m <sup>2</sup> ) + pemetrexed <sup>a</sup> (500 mg/m <sup>2</sup> ) OR carboplatin <sup>a</sup> (AUC 6) + pemetrexed <sup>b</sup> (500 mg/m <sup>2</sup> )         | Pemetrexed <sup>b,d</sup> (500 mg/m <sup>2</sup> ) |
| B (Squamous)      | Cisplatin <sup>a</sup> (75 mg/m <sup>2</sup> ) + gemcitabine <sup>a,c</sup> (1250 mg/m <sup>2</sup> ) OR carboplatin <sup>a</sup> (AUC 5) + gemcitabine <sup>a,c</sup> (1000 mg/m <sup>2</sup> ) | Best supportive care <sup>d</sup>                  |

<sup>a</sup> Cisplatin, carboplatin, pemetrexed and gemcitabine are administered until completion of 4 or 6 cycles, or progressive disease or unacceptable toxicity

<sup>b</sup> Pemetrexed is administered as maintenance regimen every 21 days until progressive disease or unacceptable toxicity

<sup>c</sup> Gemcitabine is administered on days 1 and 8 of each cycle

<sup>d</sup> No crossover was allowed from the control arm (platinum-based chemotherapy) to the Tecentriq arm (Arm A)

Patients were excluded if they had history of autoimmune disease; administration of a live, attenuated vaccine within 28 days prior to randomization; administration of systemic immunostimulatory agents within 4 weeks or systemic immunosuppressive medications within 2 weeks prior to randomization; active or untreated CNS metastases. Tumor assessments were conducted every 6 weeks for the first 48 weeks following Cycle 1, Day 1 and then every 9 weeks thereafter.

The demographics and baseline disease characteristics in patients with PD-L1 expression ≥ 1% TC or ≥ 1% IC who do not have EGFR or ALK genomic tumor aberrations (n=554) were well balanced between the treatment arms. The median age was 64.5 years (range: 30 to 87), and 70% of patients were male. The majority of patients were white (84%) and Asian (14%). Most patients were current or previous smokers (87%) and baseline ECOG performance status in patients was 0 (36%) or 1 (64%). Overall, 69% of patients had non-squamous disease and 31% of patients had squamous disease. The demographics and baseline disease characteristics in patients with high PD-L1 expression (PD-L1 ≥ 50% TC or ≥ 10% IC) who do not have EGFR or ALK genomic tumor aberrations (n=205) were generally representative of the broader study population and were balanced between the treatment arms.

The primary endpoint was overall survival (OS). At the time of the interim OS analysis, patients with high PD-L1 expression excluding those with EGFR or ALK genomic tumor aberrations (n=205) demonstrated statistically significant improvement in OS for the patients randomized to Tecentriq (Arm A) as compared with chemotherapy (Arm B). The median survival follow-up time in patients with high PD-L1 expression was 15.7 months. The key results are summarized in Table 9. The Kaplan-Meier curves for OS and PFS in patients with high PD-L1 expression are presented in Figure 9 and 10.

**Table 9 Summary of efficacy from IMpower110 in patients with high PD-L1 expression (≥ 50% TC or ≥ 10% IC by the VENTANA PD-L1 [SP142] Assay)**

| Key efficacy endpoints                         | Arm A (Tecentriq) | Arm B (Chemotherapy) |
|--|-------------------|----------------------|
| <b>Primary endpoint</b>                        |                   |                      |
| <b>OS analysis</b>                             | n=107             | n=98                 |
| No. of deaths (%)                              | 44 (41.1%)        | 57 (58.2%)           |
| Median time to events (months)                 | 20.2              | 13.1                 |
| 95% CI   | (16.5, NE)        | (7.4, 16.5)          |
| Stratified hazard ratio <sup>‡</sup> (95% CI)  | 0.59 (0.40, 0.89) |                      |
| p-value <sup>‡</sup>                           | 0.0106            |                      |
| 12-month OS (%)                                | 64.9              | 50.6                 |
| <b>Secondary endpoints</b>                     |                   |                      |
| <b>Investigator-assessed PFS (RECIST v1.1)</b> | n=107             | n=98                 |
| No. of events (%)                              | 67 (62.6%)        | 79 (80.6%)           |
| Median duration of PFS (months)                | 8.1               | 5.0                  |
| 95% CI   | (6.8, 11.0)       | (4.2, 5.7)           |
| Stratified hazard ratio <sup>‡</sup> (95% CI)  | 0.63 (0.45, 0.88) |                      |
| 12-month PFS (%)                               | 36.9              | 21.6                 |
| <b>Investigator-assessed ORR (RECIST 1.1)</b>  | n = 107           | n = 98               |
| No. of responders (%)                          | 41 (38.3%)        | 28 (28.6%)           |
| 95% CI   | (29.1, 48.2)      | (19.9, 38.6)         |
| No. of complete response (%)                   | 1 (0.9%)          | 1 (1.0%)             |
| No. of partial response (%)                    | 40 (37.4%)        | 27 (27.6%)           |
| <b>Investigator-assessed DOR (RECIST 1.1)</b>  | n = 41            | n = 28               |
| Median in months                               | NE                | 6.7                  |
| 95% CI   | (11.8, NE)        | (5.5, 17.3)          |

<sup>‡</sup> Stratified by sex and ECOG performance status (0 vs 1). Interim analysis for OS was

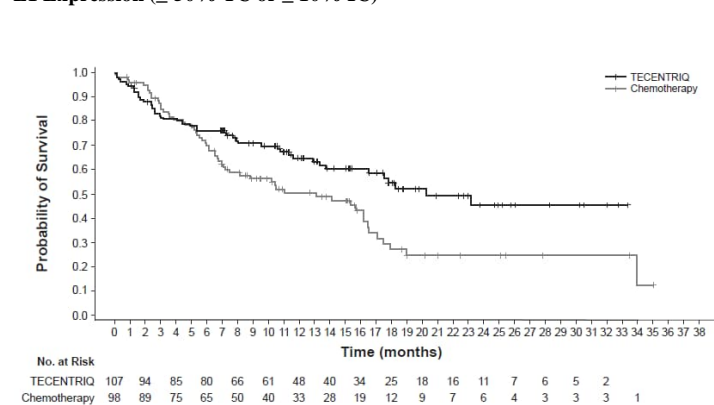
tested at a two-sided  $\alpha$  of 0.0413 for the TC3 or IC3 population

PFS=progression-free survival; RECIST=Response Evaluation Criteria in Solid Tumors

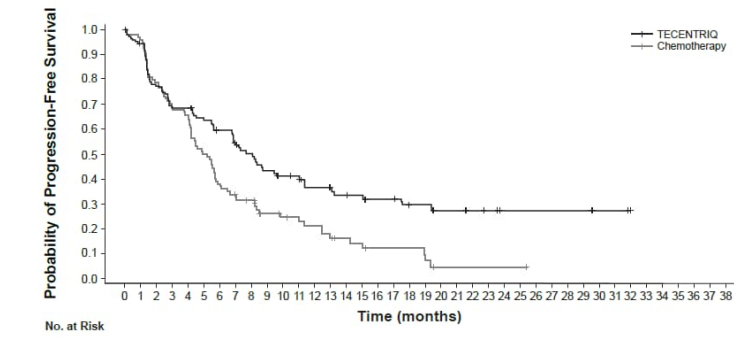
v1.1; CI=confidence interval; ORR=objective response rate; DOR=duration of response;

OS=overall survival; NE=not estimable.

**Figure 9: Kaplan-Meier Plot of Overall Survival in Patients with high PD-L1 Expression (≥ 50% TC or ≥ 10% IC)**



**Figure 10: Kaplan-Meier Plot of Progression-Free Survival in Patients with high PD-L1 Expression ( $\geq 50\%$  TC or  $\geq 10\%$  IC)**



The observed OS improvement in the Tecentriq arm compared with the chemotherapy arm was consistently demonstrated across subgroups in patients with high PD-L1 expression including both non-squamous NSCLC patients (HR: 0.62 [95% CI: 0.40, 0.96], median OS 20.2 vs. 10.5 months) and squamous NSCLC patients (HR: 0.56 [95% CI: 0.23, 1.37]) median OS NE vs 15.3 months. The data for patients  $\geq 75$  years old (HR: 1.04 [95% CI: 0.19, 5.70]) in those aged 75 to 84 years and patients who were never smokers (HR: 1.83 [95% CI: 0.63, 5.31]) are too limited to draw conclusions due to the small numbers of patients (n=22 and 24, respectively) in these subgroups.

Additional pre-specified analyses were conducted to evaluate efficacy by PD-L1 status assessed by the VENTANA PD-L1 (SP263) Assay and by the PD-L1 IHC 22C3 pharmDxTM kit in all randomized patients with PD-L1 expression  $\geq 1\%$  TC or  $\geq 1\%$  IC by the VENTANA PD-L1 (SP142) Assay who do not have EGFR or ALK genomic tumour aberrations (n=554). An OS improvement was observed with atezolizumab compared to chemotherapy in patients with high PD-L1 expression (PD-L1  $\geq 50\%$  TC) using the VENTANA PD-L1 (SP263) Assay (n=293; HR: 0.71 [95% CI: 0.50, 1.00], median OS 19.5 vs. 16.1 months) and in patients with high PD-L1 expression (Tumour Proportion Score (TPS)  $\geq 50\%$ ) using the PD-L1 IHC 22C3 pharmDxTM Kit (n=260; HR: 0.60 [95% CI: 0.42, 0.86], median OS 20.2 vs 11.0 months).

The study also evaluated Patient Reported Physical Function, Global Health Status/Health Related Quality of Life and Lung Related Symptoms using the EORTC QLQ-C30, EORTC QLQ-LC13, and SILC measures at the time of interim OS analysis. Time to deterioration of lung-related symptoms (dyspnea, cough, and chest pain) as measured by the SILC and EORTC QLQ-LC13 was similar in both treatment groups indicating that patients maintained low disease burden for a comparable duration of time. These results should be interpreted in the context of the open-label study design and therefore taken cautiously.

### ILES - SCLC IMPower133

A Phase III, randomized, multicenter, double-blind, placebo controlled study, GO30081 (IMpower133), was conducted to evaluate the efficacy and safety of Tecentriq in combination with carboplatin and etoposide in patients with chemotherapy-naïve ES-SCLC. A total of 403 patients were randomized (1:1) to receive one of the treatment regimens described in Table 8. Randomization was stratified by sex, ECOG performance status, and presence of brain metastases.

This study excluded patients who had active or untreated CNS metastases; history of autoimmune disease; administration of live, attenuated vaccine within 4 weeks prior to randomization; administration of systemic immunosuppressive medications within 1 week prior to randomization. Tumor assessments were conducted every 6 weeks for the first 48 weeks following Cycle 1, Day 1 and then every 9 weeks thereafter. Patients treated beyond disease progression had tumor assessment conducted every 6 weeks until treatment discontinuation.

**Table 10 Intravenous Treatment Regimen in Study IMPower133**

| Treatment regimen | Induction (Four 21-Day Cycles)  | Maintenance (21-Day Cycles)      |
|-------------------|---|----------------------------------|
| A                 | Tecentriq (1200 mg) <sup>a</sup> + carboplatin (AUC 5) <sup>b</sup> + etoposide (100 mg/m <sup>2</sup> ) <sup>b,c</sup> | Tecentriq (1200 mg) <sup>a</sup> |
| B                 | placebo + carboplatin (AUC 5) <sup>b</sup> + etoposide (100 mg/m <sup>2</sup> ) <sup>b,c</sup>                          | placebo                          |

<sup>a</sup> Tecentriq is administered until loss of clinical benefit as assessed by investigator

<sup>b</sup> Carboplatin and etoposide is administered until completion of 4 cycles, or progressive disease or unacceptable toxicity whichever occurs first

<sup>c</sup> Etoposide is administered on day 1, 2 and 3 of each cycle

The demographic and baseline disease characteristics of the primary analysis population were well balanced between the treatment arms. The median age was 64 years (range: 26 to 90 years). The majority of patients were male (65%), white (80%), and 9% had brain metastases and most patients were current or previous smokers (97%). Baseline ECOG performance status was 0 (35%) or 1 (65%).

At the time of the primary analysis, patients had a median survival follow up time of 13.9 months. The key results are summarized in Table 11. Kaplan-Meier curves for OS and PFS are presented in Figure 11 and 12.

**Table 11 Summary of efficacy from IMPower133**

| Parameter                                      | Interim OS Analysis (CCOD 24 April 2018)    |   | Updated OS Analysis (CCOD 24 January 2019)  |   |
|--|---|---|---|---|
|  | Arm A (Tecentriq + carboplatin + etoposide) | Arm B (Placebo + carboplatin + etoposide) | Arm A (Tecentriq + carboplatin + etoposide) | Arm B (Placebo + carboplatin + etoposide) |
| <b>Co-primary endpoints: Overall Survival</b>  |   |   |   |   |
| OS analysis                                    | n=201                                       | n=202                                     | n=201                                       | n=202                                     |
| No. of deaths (%)                              | 104 (51.7%)                                 | 134 (66.3%)                               | 142 (70.6)                                  | 160 (79.2)                                |
| Median time to events (months)                 | 12.3  | 10.3                                      | 12.3  | 10.3                                      |
| 95% CI   | (10.8, 15.9)                                | (9.3, 11.3)                               | (10.8, 15.8)                                | (9.3, 11.3)                               |
| Stratified hazard ratio <sup>a</sup> (95% CI)  | 0.70 (0.54, 0.91)                           |   | 0.76 (0.60, 0.95)                           |   |
| p-value  | 0.0069 <sup>a</sup>                         |   | 0.0154 <sup>b</sup>                         |   |
| 12-month OS (%)                                | 51.7  | 38.2                                      | 51.9  | 39.0                                      |
| 18-month OS (%)                                | 25.0  | 20.2                                      | 34.0  | 21.0                                      |
| 24-month OS (%)                                | NE  | NE  | 22.0  | 16.8                                      |
| <b>Investigator-assessed PFS (RECIST v1.1)</b> |   |   |   |   |
| No. of events (%)                              | 171 (85.1%)                                 | 189 (93.6%)                               |   |   |
| Median duration of PFS (months)                | 5.2   | 4.3                                       |   |   |
| 95% CI   | (4.4, 5.6)                                  | (4.2, 4.5)                                |   |   |
| Stratified hazard ratio <sup>a</sup> (95% CI)  | 0.77 (0.62, 0.96)                           |   | NA  | NA  |
| p-value  | 0.0170                                      |   |   |   |
| 6-month PFS (%)                                | 30.9  | 22.4                                      |   |   |
| 12-month PFS (%)                               | 12.6  | 5.4                                       |   |   |
| <b>Secondary endpoints</b>                     |   |   |   |   |
| <b>Investigator-assessed ORR (RECIST 1.1)</b>  |   |   |   |   |
| No. of responders (%)                          | 121 (60.2%)                                 | 130 (64.4%)                               | NA  | NA  |
| 95% CI   | (53.1, 67.0)                                | (57.3, 71.0)                              |   |   |
| No. of complete response (%)                   | 5 (2.5%)                                    | 2 (1.0%)                                  |   |   |
| No. of partial response (%)                    | 116 (57.7%)                                 | 128 (63.4%)                               |   |   |
| <b>Investigator-assessed DOR (RECIST 1.1)</b>  |   |   |   |   |
| Median in months                               | 4.2   | 3.9                                       | NA  | NA  |
| 95% CI   | (4.1, 4.5)                                  | (3.1, 4.2)                                |   |   |

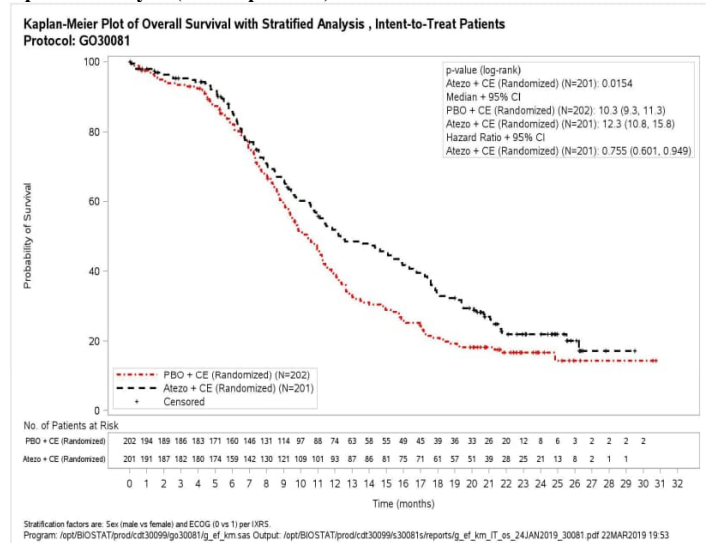
PFS=progression-free survival; RECIST=Response Evaluation Criteria in Solid Tumors v1.1.; CI=confidence interval; ORR=objective response rate; DOR=duration of response; OS=overall survival; CCOD=Clinical cut-off date; ITT=intent-to-treat; NE=not estimable; NA=No Data

<sup>a</sup> Stratified by sex and ECOG performance status

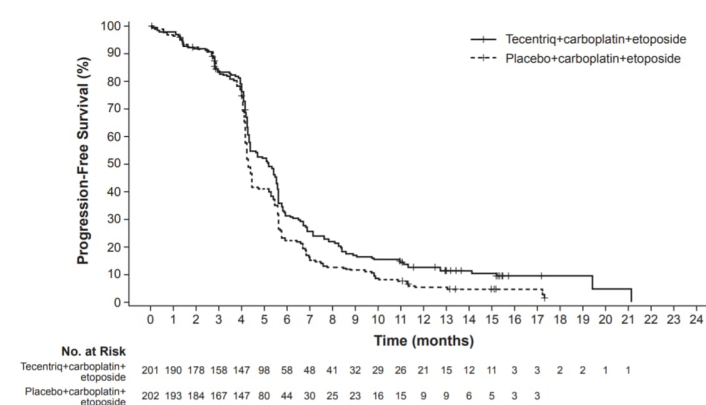
<sup>b</sup> Interim Analysis OS was tested at two-sided  $\alpha$  of 0.0193 (with 238 observed OS events at CCOD) to control the overall two-sided type I error for OS at 0.045 by Lan DeMets function approximating O'Brien-Fleming boundary.

<sup>c</sup> Descriptive purposes only

**Figure 11: Kaplan-Meier Curves for Overall Survival (IMpower133) – Updated Analysis (ITT Population)**



**Figure 12: Kaplan-Meier Plot of Progression-Free Survival (IMpower133)**



This study also included an exploratory analysis of average score changes from baseline in patient-reported symptoms, physical function, and health-related quality of life (measured using the EORTC QLQ-C30 and QLQ-LC13). On average, patients who received Tecentriq with carboplatin and etoposide reported early and notable improvements in lung cancer-related symptoms (e.g., coughing, chest pain, dyspnea) and physical function. Changes in treatment-related symptoms (e.g., diarrhea, nausea and vomiting, sore mouth, peripheral neuropathy) were comparable between arms throughout induction and most visits through week 54. Overall, patients treated with Tecentriq, carboplatin and etoposide achieved more pronounced and enduring improvements in health-related quality of life ( $\geq 10$ -point score increases at most visits through Week 48) compared to patients treated with placebo, carboplatin and etoposide, who reported nominal improvements ( $< 10$ -point score increases) at most study treatment visits.

### 2L NSCLC OAK

A phase III, open-label, multi-center, international, randomized study, GO28915 (OAK), was conducted to evaluate the efficacy and safety of Tecentriq compared with docetaxel in patients with locally advanced or metastatic NSCLC who have progressed during or following a platinum-containing regimen. A total of 1225 patients were enrolled, with the primary analysis population consisting of the first 850 randomized patients. Eligible patients were stratified by PD-L1 expression status in tumor-infiltrating immune cells (IC), by the number of prior chemotherapy regimens, and by histology. Patients were randomized (1:1) to receive either Tecentriq or docetaxel. This study excluded patients who had a history of autoimmune disease, active or corticosteroid-dependent brain metastases, administration of a live, attenuated vaccine within 28 days prior to enrollment, administration of systemic immunostimulatory agents within 4 weeks or systemic immunosuppressive medications within 2 weeks prior to enrollment. Tumor assessments were conducted every 6 weeks for the first 36 weeks, and every 9 weeks thereafter. Tumor specimens were evaluated prospectively for PD-L1 expression on tumor cells (TC) and IC and the results were used to define the PD-L1 expression subgroups for the analyses described below.

The demographic and baseline disease characteristics of the primary analysis population were well balanced between the treatment arms. The median age was 64 years (range: 33 to 85), and 61% of patients were male. The majority of patients were white (70%). Approximately three-fourths of patients had non-squamous disease (74%), 10% had known EGFR mutation, 0.2% had known ALK rearrangements, 10% had CNS metastases at baseline, and most patients were current or previous smokers (82%). Baseline ECOG performance status was 0 (37%) or 1 (63%). Seventy five percent of patients received only one prior platinum-based therapeutic regimen.

Tecentriq was administered as a fixed dose of 1200 mg by IV infusion every 3 weeks. No dose reduction was allowed. Patients were treated until loss of clinical benefit as assessed by the investigator. Docetaxel was administered 75 mg/m<sup>2</sup> by IV infusion on day 1 of each 21 day cycle until disease progression. For all treated patients, the median duration of treatment was 2.1 months for the docetaxel arm and 3.4 months for the Tecentriq arm.

The primary efficacy endpoint was OS. The key results of this study with a median survival follow-up of 21 months are summarized in Table 12. Kaplan-Meier curves for OS in the ITT population are presented in Figure 13. Figure 14 summarizes the results of OS in the ITT and PD-L1 subgroups, demonstrating OS benefit with Tecentriq in all subgroups, including those with PD-L1 expression  $< 1\%$  in TC and IC.

**Table 12 Summary of Efficacy in the Primary Analysis Population (OAK)**

| Efficacy endpoints  | TECENTRIQ         | Docetaxel    |
|---|-------------------|--------------|
| <b>Primary Efficacy Endpoint</b>                          |                   |              |
| <b>OS</b>   |                   |              |
| All comers*   | n=425             | n=425        |
| No. of deaths (%)   | 271 (64%)         | 298 (70%)    |
| Median time to events (months)                            | 13.8              | 9.6          |
| 95% CI  | (11.8, 15.7)      | (8.6, 11.2)  |
| Stratified <sup>d</sup> hazard ratio (95% CI)             | 0.73 (0.62, 0.87) |              |
| p-value**   | 0.0003            |              |
| 12-month OS (%)   | 218 (55%)         | 151 (41%)    |
| 18-month OS (%)   | 157 (40%)         | 98 (27%)     |
| <b>PD-L1 expression <math>\geq 1\%</math> in TC or IC</b> |                   |              |
| All comers  | n=241             | n=222        |
| No. of deaths (%)   | 151 (63%)         | 149 (67%)    |
| Median time to events (months)                            | 15.7              | 10.3         |
| 95% CI  | (12.6, 18.0)      | (8.8, 12.0)  |
| Stratified hazard ratio (95% CI)                          | 0.74 (0.58, 0.93) |              |
| p-value**   | 0.0102            |              |
| 12-month OS (%)   | 58%               | 43%          |
| 18-month OS (%)   | 44%               | 29%          |
| <b>Secondary Endpoints</b>                                |                   |              |
| <b>Investigator-assessed PFS (RECIST v1.1)</b>            |                   |              |
| All comers*   | n=425             | n=425        |
| No. of events (%)   | 380 (89%)         | 375 (88%)    |
| Median duration of PFS (months)                           | 2.8               | 4.0          |
| 95% CI  | (2.6, 3.0)        | (3.3, 4.2)   |
| Stratified hazard ratio (95% CI)                          | 0.95 (0.82, 1.10) |              |
| <b>Investigator-assessed ORR (RECIST v1.1)</b>            |                   |              |
| All comers  | n=425             | n=425        |
| No. of responders (%)                                     | 58 (14%)          | 57 (13%)     |
| 95% CI  | (10.5, 17.3)      | (10.3, 17.0) |
| <b>Investigator-assessed DOR (RECIST v1.1)</b>            |                   |              |
| All comers  | n=58              | n=57         |
| Median in months  | 16.3              | 6.2          |
| 95% CI  | (10.0, NE)        | (4.9, 7.6)   |

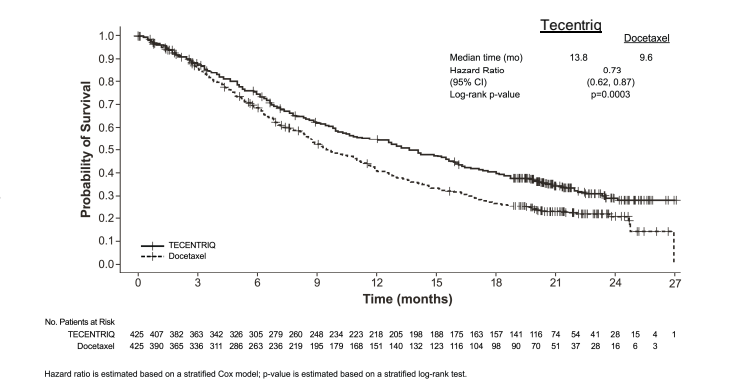
CI=confidence interval; DOR=duration of response; IC=tumor-infiltrating immune cells; NE=not estimable; ORR=objective response rate; OS=overall survival; PFS=progression-free survival; RECIST=Response Evaluation Criteria in Solid Tumors v1.1.; TC = tumor cells.

\* All comers refers to the primary analysis population consisting of the first 850 randomized patients

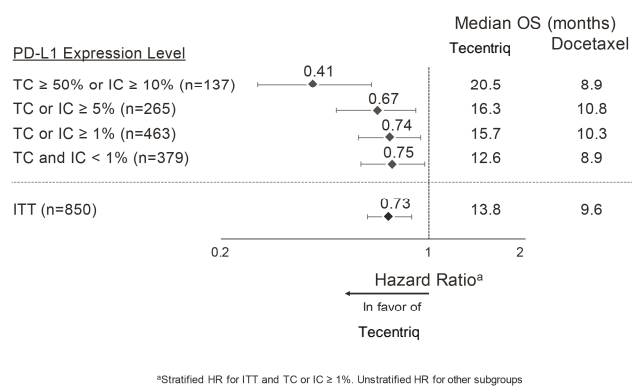
† Stratified by PD-L1 expression in tumor-infiltrating immune cells, the number of prior chemotherapy regimens, and histology

\*\* Based on the stratified log-rank test

**Figure 13 Kaplan-Meier Plot for Overall Survival in the Primary Analysis Population (all comers) (OAK)**



**Figure 14 Forest Plot of Overall Survival by PD-L1 Expression in the Primary Analysis Population (OAK)**



An improvement in OS was observed with Tecentriq compared to docetaxel in both non-squamous NSCLC patients (hazard ratio [HR] of 0.73, 95% CI: 0.60, 0.89; median OS of 15.6 vs. 11.2 months for Tecentriq and docetaxel, respectively) and squamous NSCLC patients (HR of 0.73, 95% CI: 0.54, 0.98; median OS of 8.9 vs. 7.7 months for Tecentriq and docetaxel, respectively). The observed OS improvement was consistently demonstrated across subgroups of patients including those with brain metastases at baseline (HR of 0.54, 95% CI: 0.31, 0.94; median OS of 20.1 vs. 11.9 months for Tecentriq and docetaxel respectively) and patients who were never smokers (HR of 0.71, 95% CI: 0.47, 1.08; median OS of 16.3 vs. 12.6 months for Tecentriq and docetaxel, respectively). However, patients with EGFR mutations did not show improved OS with Tecentriq compared to docetaxel (HR of 1.24, 95% CI: 0.71, 2.18; median OS of 10.5 vs. 16.2 months for Tecentriq and docetaxel respectively). Prolonged time to deterioration of patient-reported pain in chest as measured by the EORTC QLQ-LC13 was observed with Tecentriq compared with docetaxel (HR 0.71, 95% CI: 0.49, 1.05; median not reached in either arm). The time to deterioration in other lung cancer symptoms (i.e. cough, dyspnea, and arm/shoulder pain) as measured by the EORTC QLQ-LC13 was similar between Tecentriq and docetaxel. The average global health status and functioning scores (i.e. physical, role, social, emotional, and cognitive) as measured by the EORTC QLQ-C30 did not show clinically meaningful deterioration over time for both treatment groups, suggesting maintained health-related quality of life and patient-reported functioning for patients remaining on treatment.

**POPLAR**

A phase II, multi-center, international, randomized, open-label, controlled study GO28753 (POPLAR), was conducted in patients with locally advanced or metastatic NSCLC. The primary efficacy outcome was overall survival. A total of 287 patients were randomized 1:1 to receive either Tecentriq or docetaxel. Randomization was stratified by PD-L1 expression status in IC, by the number of prior chemotherapy regimens and by histology. An updated analysis with a total of 200 deaths observed and a median survival follow-up of 22 months showed a median OS of 12.6 months in patients treated with TECENTRIQ, vs. 9.7 months in patients treated with docetaxel (HR of 0.69, 95% CI: 0.52, 0.92). ORR was 15.3% vs. 14.7% and median DOR was 18.6 months vs. 7.2 months for Tecentriq vs. docetaxel, respectively.

**IL TNBC**

**IMpassion130**  
A phase III, double-blind, two-arm, randomized, placebo-controlled study, WO29522 (IMpassion130), was conducted to evaluate the efficacy and safety of Tecentriq in combination with nab-paclitaxel, in patients with unresectable locally advanced or metastatic TNBC who had not received prior chemotherapy for metastatic disease. A total of 902 patients were enrolled and stratified by presence of liver metastases, prior taxane treatment, and by PD-L1 expression status in tumor-infiltrating immune cells (IC) (PD-L1 stained tumour-infiltrating immune cells [IC] in <1% of the tumour area vs. ≥1% of the tumour area). Patients were randomized to receive Tecentriq (840 mg) or placebo IV infusions on Days 1 and 15 of every 28-day cycle, plus nab-paclitaxel (100 mg/m<sup>2</sup>) administered via IV infusion on Days 1, 8 and 15 of every 28-day cycle. Patients received treatment until radiographic disease progression per RECIST v1.1, or unacceptable toxicity. Treatment with Tecentriq could be continued when nab-paclitaxel was stopped due to unacceptable toxicity.

Patients were excluded if they had a history of autoimmune disease; administration of a live, attenuated vaccine within 4 weeks prior to randomization; administration of systemic immunostimulatory agents within 4 weeks or systemic immunosuppressive medications within 2 weeks prior to randomization; untreated or corticosteroid-dependent brain metastases. Tumor assessments were performed every 8 weeks (± 1 week) for the first 12 months after Cycle 1, day 1 and every 12 weeks (± 1 week) thereafter.

The demographic and baseline disease characteristics of the study population were well balanced between the treatment arms. Most patients were women (99.6%). Sixty-seven percent of patients were white (67.5%), 17.8% were Asian, 6.5% were Black or African American, and 4.4% were American Indian or Alaskan Native. The median age was 55 years (range: 20-86). Baseline ECOG performance status was 0 (58.4%) or 1 (41.3%). Overall, 41% of enrolled patients had PD-L1 expression ≥1%, 27% had liver metastases and 7% brain metastases at baseline. Approximately half the patients had received a taxane (51%) or anthracycline (54%) in the (neo) adjuvant setting. Patient demographics and baseline tumor disease in the PD-L1 expression ≥1% population were generally representative of the broader study population. PFS, ORR and DOR results for patients with PD-L1 expression ≥1% with a median survival follow up of 13 months are summarized in Table 13 and Figure 15. In addition, PFS benefit was observed in subgroups.

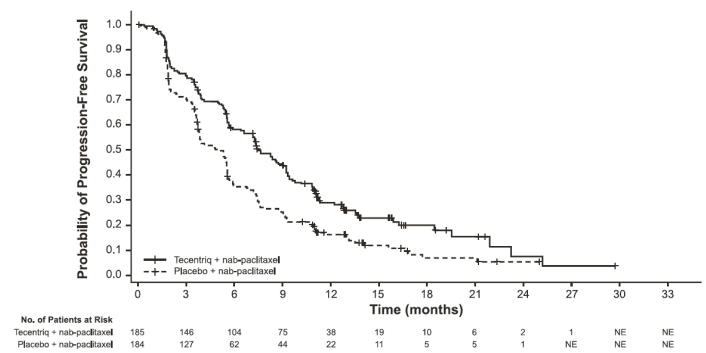
A final OS analysis was performed in patients with PD-L1 expression ≥1% with a median follow-up of 19.12 months. OS results are presented in Table 13 and Figure 16.

**Table 13 Summary of efficacy in patients with PD-L1 expression ≥1% IC (IMpassion130)**

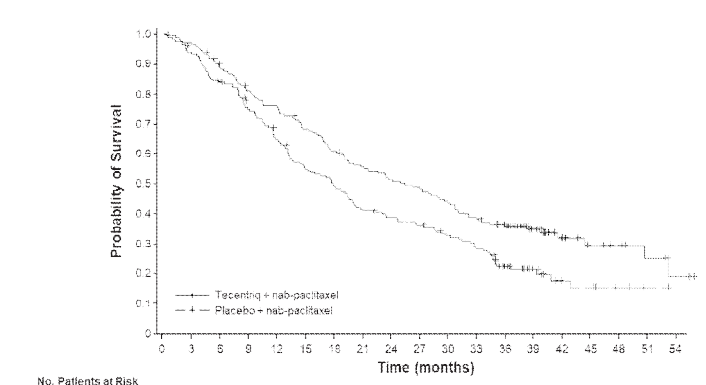
| Key efficacy endpoints                         | Tecentriq + nab-paclitaxel | Placebo + nab-paclitaxel |
|--|----------------------------|--------------------------|
| <b>Co-primary endpoints</b>                    |                            |                          |
| <b>Investigator-assessed PFS (RECIST v1.1)</b> |                            |                          |
| No. of events (%)                              | 138 (74.6%)                | 157 (85.3%)              |
| Median duration of PFS (months)                | 7.5                        | 5.0                      |
| 95% CI   | (6.7, 9.2)                 | (3.8, 5.6)               |
| Stratified hazard ratio <sup>‡</sup> (95% CI)  | 0.62 (0.49, 0.78)          |                          |
| p-value <sup>1</sup>                           | <0.0001                    |                          |
| 12-month PFS (%)                               | 29.1                       | 16.4                     |
| <b>OS</b>                                      |                            |                          |
| No. of deaths (%)                              | 120 (64.9%)                | 139 (75.5%)              |
| Median time to events (months)                 | 25.4                       | 17.9                     |
| 95% CI   | (19.6, 30.7)               | (13.6, 20.3)             |
| Stratified hazard ratio <sup>‡</sup> (95% CI)  | 0.67 (0.53, 0.86)          |                          |
| p-value <sup>1,2</sup>                         | 0.0016                     |                          |
| <b>Secondary endpoints</b>                     |                            |                          |
| <b>Investigator-assessed ORR (RECIST 1.1)</b>  |                            |                          |
| No. of responders (%)                          | 109 (58.9%)                | 78 (42.6%)               |
| 95% CI   | (51.5, 66.1)               | (35.4, 50.1)             |
| No. of complete response (%)                   | 19 (10.3%)                 | 2 (1.1%)                 |
| No. of partial response (%)                    | 90 (48.6%)                 | 76 (41.5%)               |
| No. of stable disease                          | 38 (20.5%)                 | 49 (26.8%)               |
| <b>Investigator-assessed DOR</b>               |                            |                          |
| Median in months                               | 8.5                        | 5.5                      |
| 95% CI   | (7.3, 9.7)                 | (3.7, 7.1)               |
| Unstratified hazard ratio (95% CI)             | 0.60 (0.43, 0.86)          |                          |

- Based on the stratified log-rank test
  - OS comparisons between treatment arms in patients with PD-L1 expression ≥1% were not formally tested, as per the pre-specified analysis hierarchy.
- <sup>‡</sup> Stratified by presence of liver metastases, and by prior taxane treatment  
PFS=progression-free survival; RECIST=Response Evaluation Criteria in Solid Tumors v1.1; CI=confidence interval; ORR=objective response rate; DOR=duration of response; OS=overall survival; NE=not estimable

**Figure 15: Kaplan-Meier Plot for Progression Free Survival in patients with PD-L1 expression ≥1% IC (IMpassion130)**



**Figure 16: Kaplan-Meier Plot for Overall Survival in patients with PD-L1 expression ≥1% IC (IMpassion130)**



Patient-reported endpoints measured by the EORTC QLQ-C30 suggest that patients maintained their global health status/health-related quality of life (HRQoL), physical functioning, and role functioning while on treatment. No differences in the time to a ≥10-point deterioration in HRQoL (HR: 0.94; 95% CI: 0.69, 1.28), physical function (HR: 1.02; 95% CI: 0.76, 1.37), or role function (HR: 0.77; 95% CI: 0.57, 1.04) were observed between the two arms. Mean scores at baseline for HRQoL (67.5 Tecentriq and nab-paclitaxel vs. 65.0 placebo and nab-paclitaxel), physical function (82.7 vs. 79.4), and role function (73.6 vs. 71.7) were comparable between arms; as well as throughout the course of treatment. In both arms, HRQoL, physical function and role function remained stable during treatment, with no clinically meaningful changes (a ≥10-point difference from baseline mean score) observed.

**HCC**

**IMbrave150**

A global phase III, randomized, multi-center, open-label study, YO40245 (IMbrave150), was conducted to evaluate the efficacy and safety of Tecentriq in combination with Avastin, in patients with locally advanced or metastatic and/or unresectable HCC, who have not received prior systemic treatment. A total of 501 patients were randomized (2:1) to receive either Tecentriq 1200 mg and 15 mg/kg of Avastin every 3 weeks administered via IV infusion, or sorafenib 400 mg orally twice per day. Randomization was stratified by geographic region (Asia excluding Japan vs. rest of world), macrovascular invasion and/or extrahepatic spread (presence vs. absence), baseline AFP (<400 vs. ≥400 ng/mL) and ECOG performance status (0 vs. 1). Patients in both arms received treatment until loss of clinical benefit, or unacceptable toxicity. Patients could discontinue either Tecentriq or Avastin (e.g., due to adverse events) and continue on single-agent therapy until loss of clinical benefit or unacceptable toxicity associated with the single-agent.

The study enrolled adults who were Child-Pugh A, ECOG 0/1 and who had not received prior systemic treatment. Bleeding (including fatal events) is a known adverse reaction with Avastin and upper gastrointestinal bleeding is a common and life threatening complication in patients with HCC. Hence, patients were required to be evaluated for the presence of varices within 6 months prior to treatment, and were excluded if they had variceal bleeding within 6 months prior to treatment, untreated or incompletely treated varices with bleeding or high risk of bleeding. Patients were also excluded if they had moderate or severe ascites; history of hepatic encephalopathy; a history of autoimmune disease; administration of a live, attenuated vaccine within 4 weeks prior to randomization; administration of systemic immunostimulatory agents within 4 weeks or systemic immunosuppressive medications within 2 weeks prior to randomization; untreated or corticosteroid-dependent brain metastases. Tumor assessments were performed every 6 weeks for the first 54 weeks following Cycle 1, Day 1, then every 9 weeks thereafter.

The demographic and baseline disease characteristics of the study population were well balanced between the treatment arms. The median age was 65 years

(range: 26 to 88 years) and 83% were male. The majority of patients were Asian (57%) and white (35%). 40% were from Asia (excluding Japan), while 60% were from rest of world. Approximately 75% of patients presented with macrovascular invasion and/or extrahepatic spread and 37% had a baseline AFP ≥400 ng/mL. Baseline ECOG performance status was 0 (62%) or 1 (38%). The primary risk factors for the development of HCC were Hepatitis B virus infection in 48% of patients, Hepatitis C virus infection in 22% of patients, and non-viral disease in 31% of patients. HCC was categorized as Barcelona Clinic Liver Cancer (BCLC) stage C in 82% of patients, stage B in 16% of patients, and stage A in 3% of patients.

The co-primary efficacy endpoints were OS and IRF-assessed PFS according to RECIST v1.1. At the time of the primary analysis, patients had a median survival follow up time of 8.6 months. The data demonstrated a statistically significant improvement in OS and PFS as assessed by IRF per RECIST v1.1 with Tecentriq + Avastin compared to sorafenib. A statistically significant improvement was also observed in confirmed objective response rate (ORR) by IRF per RECIST v1.1 and HCC modified RECIST (mRECIST). The key efficacy results from the primary analysis are summarized in Table 14.

A descriptive updated efficacy analysis was performed with a median survival follow up time of 15.6 months. The key results from the updated analysis are summarized in Table 15. Kaplan-Meier curves for OS (updated analysis) and PFS (primary analysis) are presented in Figures 17 and 18, respectively.

**Table 14 Summary of efficacy (IMbrave150 Primary Analysis)**

| Key efficacy endpoints                        | Tecentriq + Avastin  | Sorafenib            |
|---|----------------------|----------------------|
| <b>OS</b>                                     |                      |                      |
| No. of deaths (%)                             | 96 (28.6%)           | 65 (39.4%)           |
| Median time to event (months)                 | NE                   | 13.2                 |
| 95% CI  | (NE, NE)             | (10.4, NE)           |
| Stratified hazard ratio <sup>‡</sup> (95% CI) | 0.58 (0.42, 0.79)    |                      |
| p-value <sup>1</sup>                          | 0.0006               |                      |
| 6-month OS (%)                                | 84.8%                | 72.3%                |
| <b>RECIST v1.1</b>                            |                      |                      |
| Tecentriq + Avastin                           |                      |                      |
| <b>HCC mRECIST</b>                            |                      |                      |
| Tecentriq + Avastin                           |                      |                      |
| <b>IRF-assessed PFS</b>                       |                      |                      |
| No. of events (%)                             | n=336<br>197 (58.6%) | n=165<br>109 (66.1%) |
| Median duration of PFS (months)               | 6.8                  | 4.3                  |
| 95% CI  | (5.8, 8.3)           | (4.0, 5.6)           |
| Stratified hazard ratio <sup>‡</sup> (95% CI) | 0.59 (0.47, 0.76)    |                      |
| p-value <sup>1</sup>                          | <0.0001              |                      |
| 6-month PFS                                   | 54.5%                | 37.2%                |
| <b>IRF-assessed ORR</b>                       |                      |                      |
| No. of confirmed responders (%)               | n=326<br>89 (27.3%)  | n=159<br>19 (11.9%)  |
| 95% CI  | (22.5, 32.5)         | (7.4, 18.0)          |
| p-value <sup>2</sup>                          | <0.0001              |                      |
| No. of complete responses (%)                 | 18 (5.5%)            | 0                    |
| No. of partial responses (%)                  | 71 (21.8%)           | 19 (11.9%)           |
| No. of stable disease (%)                     | 151 (46.3%)          | 69 (43.4%)           |
| <b>IRF-assessed DOR</b>                       |                      |                      |
| Median in months                              | n=89<br>NE           | n=19<br>6.3          |
| 95% CI  | (NE, NE)             | (4.7, NE)            |
| 6-month DOR (%)                               | 87.6%                | 59.1%                |

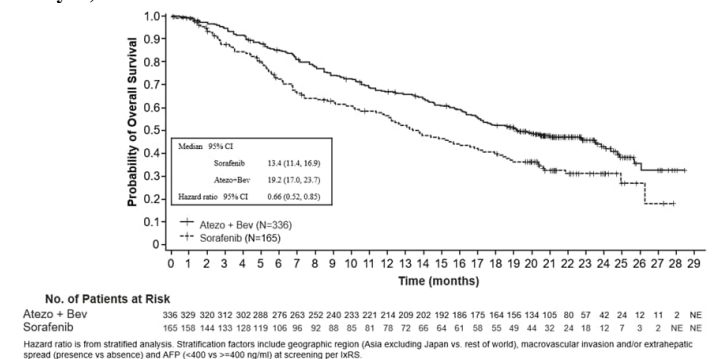
- Stratified by geographic region (Asia excluding Japan vs. rest of world), macrovascular invasion and/or extrahepatic spread (presence vs. absence), and baseline AFP (<400 vs. ≥400 ng/mL)
  - Based on stratified log-rank test
- <sup>‡</sup> Stratified by presence of liver metastases, and by prior taxane treatment  
PFS=progression-free survival; RECIST=Response Evaluation Criteria in Solid Tumors v1.1; HCC mRECIST = Modified RECIST Assessment for Hepatocellular Carcinoma; CI=confidence interval; ORR=objective response rate; DOR=duration of response; OS=overall survival; NE=not estimable; N/A=not applicable

**Table 15 Summary of efficacy (IMbrave150 Updated Analysis)**

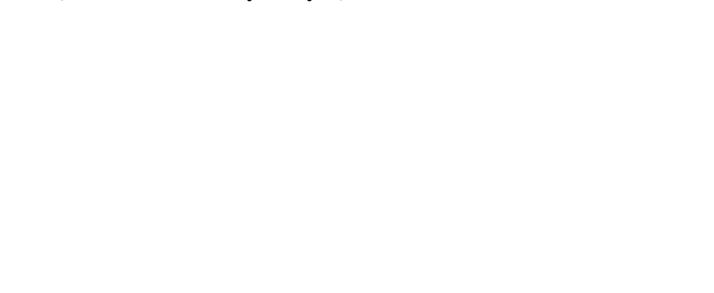
| Key efficacy endpoints                        | Atezolizumab + Bevacizumab | Sorafenib           |
|---|----------------------------|---------------------|
| <b>OS</b>                                     |                            |                     |
| No. of deaths (%)                             | 180 (53.6%)                | 100 (60.6%)         |
| Median time to event (months)                 | 19.2                       | 13.4                |
| 95% CI  | (17.0, 23.7)               | (11.4, 16.9)        |
| Stratified hazard ratio <sup>‡</sup> (95% CI) | 0.66 (0.52, 0.85)          |                     |
| <b>IRF-assessed ORR, RECIST 1.1</b>           |                            |                     |
| No. of confirmed responders (%)               | n=326<br>97 (29.8%)        | n=159<br>18 (11.3%) |
| 95% CI  | (24.8, 35.0)               | (6.9, 17.3)         |
| <b>IRF-assessed DOR, RECIST 1.1</b>           |                            |                     |
| Median in months                              | n=97<br>18.1               | n=18<br>14.9        |
| 95% CI  | (14.6, NE)                 | (4.9, 17.0)         |

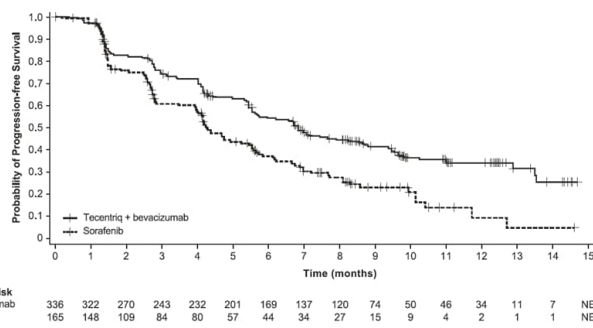
- Stratified by geographic region (Asia excluding Japan vs. rest of world), macrovascular invasion and/or extrahepatic spread (presence vs. absence), and baseline AFP (<400 vs. ≥400 ng/mL)
  - No. of complete responses (%): 25 (7.7%) in the atezolizumab + bevacizumab arm and 1 (0.6%) in the sorafenib arm
- PFS=progression-free survival; RECIST=Response Evaluation Criteria in Solid Tumors v1.1; CI=confidence interval; ORR=objective response rate; DOR=duration of response; OS=overall survival; NE=not estimable

**Figure 17: Kaplan-Meier curve for Overall Survival (IMbrave150 Updated Analysis)**



**Figure 18 Kaplan-Meier Plot for Progression-Free Survival per RECIST v1.1 (IMbrave150 Primary Analysis)**





The study evaluated patient-reported outcomes using the EORTC QLQ-C30 and EORTC QLQ-HCC18 questionnaires. Time to deterioration (TTD) of patient-reported physical functioning, role functioning, and global health status/quality of life (GHS/QoL) on the EORTC QLQ-C30 were pre-specified secondary endpoints. TTD was defined as the time from randomization to the first deterioration (decrease from baseline of  $\geq 10$  points) maintained for two consecutive assessments, or one assessment followed by death from any cause within 3 weeks. Compared to sorafenib, the median TTD for patient-reported physical functioning was 13.1 vs. 4.9 months (HR 0.53, 95% CI 0.39, 0.73), 9.1 vs. 3.6 months (HR 0.62, 95% CI 0.46, 0.84) for role functioning and 11.2 vs. 3.6 months (HR 0.63, 95% CI 0.46, 0.85) for GHS/QoL. These results should be interpreted in the context of the open-label study design and therefore taken cautiously.

#### GO30140

A global, open-label, multi-center, multi-arm Phase Ib study (GO30140) was also conducted in patients with solid tumors. Arm F of the study used a randomized design to evaluate the safety and efficacy of Tecentriq administered in combination with Avastin versus Tecentriq monotherapy in patients with advanced or metastatic and/or unresectable HCC who had not received prior systemic treatment. The primary efficacy endpoint was PFS assessed by IRF according to RECIST v1.1. A total of 119 patients were randomized 1:1 to receive either Tecentriq (1200 mg) and Avastin (15 mg/kg) by IV infusion every 3 weeks or Tecentriq (1200 mg) every 3 weeks. At the time of the primary analysis, the median survival follow up was 6.6 months. The combination of Tecentriq with Avastin showed statistically significant PFS benefit compared to Tecentriq monotherapy (HR of 0.55, 80% CI: 0.40, 0.74, p-value = 0.0108) with a median PFS of 5.6 months in patients treated with Tecentriq and Avastin, vs 3.4 months in patients treated with Tecentriq monotherapy.

#### 3.1.3 Immunogenicity

As with all therapeutic proteins, there is the potential for immune response to atezolizumab. Across multiple phase III studies, 13.1% to 36.4% of patients developed treatment-emergent anti-drug antibodies (ADAs) and 4.3% to 19.7% of patients developed neutralizing antibodies (NAb). ADA and Nab status appeared to have no clinically relevant impact on atezolizumab pharmacokinetics, efficacy or safety.

Immunogenicity assay results are highly dependent on several factors including assay sensitivity and specificity, assay methodology, sample handling, timing of sample collection, concomitant medications and underlying disease. For these reasons, comparison of incidence of antibodies to Tecentriq with the incidence of antibodies to other products may be misleading.

### 3.2 PHARMACOKINETIC PROPERTIES

The pharmacokinetics of atezolizumab have been characterized in patients in multiple clinical trials at doses 0.01 mg/kg to 20 mg/kg and 1200mg every 3 weeks, as well as 840mg every 2 weeks. Exposure to atezolizumab increased dose proportionally over the dose range of 1 mg/kg to 20 mg/kg. A population analysis that included 472 patients described atezolizumab pharmacokinetics for the dose range: 1- 20 mg/kg with a linear two-compartment disposition model with first-order elimination. Based on pharmacokinetic modeling, the overall exposure of atezolizumab administered at doses of 840 mg every 2 weeks, 1200 mg every 3 weeks and 1680mg every 4 weeks are comparable. A population pharmacokinetic analysis suggests that steady-state is obtained after 6 to 9 weeks after multiple doses. The maximum systemic accumulation ratio across dosing regimens is 3.3.

Based on an analysis of exposure, safety and efficacy data, the following factors have no clinically relevant effect: age (21-89 years), body weight, gender, positive ADA status, albumin levels, tumor burden, region or ethnicity, renal impairment, mild hepatic impairment, level of PD-L1 expression, or ECOG status.

#### 3.2.1 Absorption

Tecentriq is administered as an IV infusion. There have been no studies performed with other routes of administration.

#### 3.2.2 Distribution

A population pharmacokinetic analysis indicates that central compartment volume of distribution (V1) is 3.28 L and volume at steady-state (Vss) is 6.91 L in the typical patient.

#### 3.2.3 Metabolism

The metabolism of Tecentriq has not been directly studied. Antibodies are cleared principally by catabolism.

#### 3.2.4 Elimination

A population pharmacokinetic analysis indicates that the clearance of atezolizumab is 0.200 L/day and the typical terminal elimination half-life ( $t_{1/2}$ ) is 27 days.

#### 3.2.5 Pharmacokinetics in Special Populations

##### Pediatric Population

The pharmacokinetic results from one early-phase, multi-centre open-label study that was conducted in pediatric (<18 years, n=69) and young adult patients (18-30 years, n=18), show that the clearance and volume of distribution of atezolizumab were comparable between pediatric patients receiving 15 mg/kg and young adult patients receiving 1200 mg of atezolizumab every 3 weeks when normalized by body weight, with exposure trending lower in pediatric patients as body weight decreased. These differences were not associated with a decrease in atezolizumab concentrations below the therapeutic target exposure. Data for children <2 years is limited thus no definitive conclusions can be made.

##### Geriatric Population

No dedicated studies of Tecentriq have been conducted in geriatric patients. The effect of age on the pharmacokinetics of atezolizumab was assessed in a population pharmacokinetic analysis. Age was not identified as a significant covariate influencing atezolizumab pharmacokinetics based on patients of age range of 21-89 years (n = 472), and median of 62 years of age. No clinically important difference was observed in the pharmacokinetics of atezolizumab among patients < 65 years (n = 274), patients between 65-75 years (n = 152) and patients > 75 years (n = 46) (see section 2.2.1 Special Dosage Instructions).

#### Renal impairment

No dedicated studies of Tecentriq have been conducted in patients with renal impairment. In the population pharmacokinetic analysis, no clinically important differences in the clearance of atezolizumab were found in patients with mild (eGFR 60 to 89 mL/min/1.73 m<sup>2</sup>; n = 208) or moderate (eGFR 30 to 59 mL/min/1.73 m<sup>2</sup>; n = 116) renal impairment compared to patients with normal (eGFR greater than or equal to 90 mL/min/1.73 m<sup>2</sup>; n = 140) renal function. Only a few patients had severe renal impairment (eGFR 15 to 29 mL/min/1.73 m<sup>2</sup>; n = 8) (see section 2.2.1 Special Dosage Instructions).

#### Hepatic impairment

No dedicated studies of Tecentriq have been conducted in patients with hepatic impairment. In the population pharmacokinetic analysis, there were no clinically important differences in the clearance of atezolizumab between patients with mild hepatic impairment (bilirubin  $\leq$  ULN and AST > ULN or bilirubin > 1.0 to 1.5 x ULN and any AST, or moderate hepatic impairment (bilirubin >1.5 to 3x ULN and any AST). No data are available in patients with severe (bilirubin > 3.0 x ULN and any AST) hepatic impairment. Hepatic impairment was defined by the National Cancer Institute (NCI) criteria of hepatic dysfunction (see section 2.2.1 Special Dosage Instructions).

### 3.3 NONCLINICAL SAFETY

#### 3.3.1 Carcinogenicity

No carcinogenicity studies have been conducted with Tecentriq.

#### 3.3.2 Genotoxicity

No genotoxicity studies have been conducted with Tecentriq.

#### 3.3.3 Impairment of Fertility

No fertility studies have been conducted with Tecentriq; however assessment of the cynomolgus monkey male and female reproductive organs was included in the chronic toxicity study. Tecentriq had an effect on menstrual cycles in all female monkeys in the 50 mg/kg dose group characterized by an irregular cycle pattern during the dosing phase and correlated with the lack of fresh corpora lutea in the ovaries at the terminal necropsy; this effect was reversible during the dose-free recovery period. There was no effect on the male reproductive organs.

#### 3.3.4 Reproductive Toxicity

No reproductive or teratogenicity studies in animals have been conducted with Tecentriq. The PD-L1/PD-1 signaling pathway is well established as essential in maternal / fetal tolerance and embryo-fetal survival during gestation. Administration of Tecentriq is expected to have an adverse effect on pregnancy and poses a risk to the human fetus, including embryo lethality.

#### 3.3.5 Other

Not applicable.

## 4. PHARMACEUTICAL PARTICULARS

### 4.1 STORAGE

#### Vials

Store at 2°C-8°C.

Tecentriq should be protected from light.

Do not freeze. Do not shake.

#### Shelf life

This medicine should not be used after the expiry date (EXP) shown on the pack.

The diluted solution for infusion should be used immediately. If the solution is not used immediately, it can be stored for up to 24 hours at 2°C-8°C, or 8 hours at ambient temperature ( $\leq 25^\circ\text{C}$ ).

### 4.2 SPECIAL INSTRUCTIONS FOR USE, HANDLING AND DISPOSAL

#### Instructions for dilution

Tecentriq should be prepared by a healthcare professional using aseptic technique. Use sterile needle and syringe to prepare Tecentriq. Withdraw the required volume of Tecentriq liquid concentrate from the vial and dilute into the required PVC, polyethylene (PE) or polyolefin infusion bag containing 0.9% sodium chloride solution. Dilute with 0.9% Sodium Chloride Injection only. After dilution, the final concentration of the diluted solution should be between 3.2 and 16.8 mg/mL. The bag should be gently inverted to mix the solution in order to avoid foaming. Once the infusion is prepared it should be administered immediately. (See section 4.1 Storage).

This medicinal product must not be mixed with other medicinal products.

No preservative is used in Tecentriq therefore each vial is for single use only.

Discard any unused portion.

#### Incompatibilities

No incompatibilities have been observed between Tecentriq and IV bags with product-contacting surfaces of polyvinyl chloride (PVC), polyolefin bags, polyethylene (PE) or polypropylene (PP). In addition, no incompatibilities have been observed with in-line filter membranes composed of polyethersulfone or polysulfone, and infusion sets and other infusion aids composed of PVC, PE, polybutadiene, or polyetherurethane.

#### Disposal of unused / expired medicines

The release of pharmaceuticals in the environment should be minimized. Medicines should not be disposed of via wastewater and disposal through household waste should be avoided.

### 4.3 PACKS

|                     |   |
|---------------------|---|
| Vial 1200 mg/ 20 ml | 1 |
| Vial 840mg/ 14 ml   | 1 |

#### Medicine: keep out of reach of children

Current at February 2022



F. Hoffmann-La Roche Ltd, Basel, Switzerland